

1 SECTOR ✓

115
199

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 10, 1999 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Pat Tate
Pat Tate

Attorney Docket No.: ARELP102

First Named Inventor:

GERRARD et al.

Application No. 09/305,789



RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☒ Duplicate for
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:

Alan Gerrard, Nam Do

For: DYNAMIC ACOUSTIC RENDERING

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard



Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.



Other:

Amendments

08/18/1999 KHAPPY 00000003 09305789
05 FC:115
06 FC:199

☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained.)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	SMALL ENTITY	OR	LARGE ENTITY
BASIC FEE				\$380	\$	OR	\$760 \$ 760.00
TOTAL CLAIMS	23	-20 = <u>3</u>		x9 =	\$	OR	x18 = \$ 54.00
INDEP CLAIMS	11	-03 <u>8</u>		x39 =	\$	OR	x78 = \$ 624.00
[] Multiple Dependent Claim Presented				\$130 =	\$	OR	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				Total	\$	OR	Total \$ 1438.00

☒ Applicants petition for a one (1) month extension of time to respond under 37 CFR § 1.136(a).

☐ Check No. 2296 in the amount of \$1,808.00 is enclosed.

General Authorizations


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. ARELP102).

☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. ARELP102).

☒ Please send correspondence to the following address:

Customer No. 21912
RITTER, VAN PELT & YI LLP
4906 El Camino Real, Suite 205
Los Altos, CA 94022

Date: August 10, 1999



Lee Van Pelt
Reg. No. 38,352





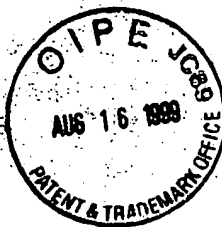
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/305,789	05/04/99	ALAN	G ARELP102

021912

0232/0603



RITTER VAN PELT AND YI LLP
SUITE 205
4906 EL CAMINO REAL
LOS ALTOS CA 94022

NOT ASSIGNED

2747

DATE MAILED:

06/03/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1,568

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 54 for 3 total claims over 20.

\$ 624 for 8 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(b) unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

08/18/1999 RHAPPY 00000003 09305789

01 FC:101
02 FC:102
03 FC:103
04 FC:105